

IN THE UNITED ST	TES PATENT	AND	TRADEMARK	OFFICE
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In re Application of)	
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Alan McCLELLAND et al.		
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Serial No. 09/993,502)	Examiner:
)	
Filed: November 27, 2001)	Group Art Unit:
)	
For: GENE TRANSFER WITH)	
ADENOVIRUSES HAVING)	
MODIFIED FIBER PROTEINS)	

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Responsive to the Notice to File Corrected Application

Papers, attached herewith is a substitute specification,

including pages 5, 9, 13, 17, 21 and 25 in compliance with 37 CFR

1.52. Also attached are six substitute drawing sheets to replace the drawings filed November 27, 2001. No new matter is contained in the substitute specification.

A Sequence Listing in Computer Readable Form along with a paper copy of the Sequence Listing also accompany this response.

Respectfully submitted,

Michael G. Sullivan

Attorney for Applicants Registration No. 35,377

ROTHWELL, FIGG, ERNST & MANBECK, p.c.

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2048-126.RSP





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.USDTO.GOV

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/993,502

6449

11/27/2001

Alan McClelland

2048-126

CONFIRMATION NO. 1490

FORMALITIES LETTER

OC000000007185839

Date Mailed: 12/12/2001

ROTHWELL, FIGG, ERNST & MANBECK, P.C. 555 13TH STREET, N.W. SUITE 701, EAST TOWER WASHINGTON, DC 20004

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - The specification cannot be scanned or properly stored. Page(s)
 - o Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 5,9,13,17,21 & 25 are not in compliance with 37 CFR 1.52(a). The drawings filed are unacceptable because:

 Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentln Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Boy Sequence

PE VOICE

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In re Application of

Alan McCLELLAND et al.

Serial No. 09/993,502

Filed: November 27, 2001

For: GENE TRANSFER WITH

ADENOVIRUSES HAVING

MODIFIED FIBER PROTEINS

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SUBMISSION OF SUBSTITUTE DRAWINGS

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Enclosed in compliance with 37 C.F.R. § 1.84 are six substitute drawing sheets in connection with the above-referenced application.

Respectfully submitted,

Ву

Michael G.\/Sullivan

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